12-17-01

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Please type a plus sign (+) inside this box [+]

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. __005745.P001__
(maximum 12 characters)

First Named Inventor __Samuel C Gibbs III__

Title: __METHOD AND APPARATUS FOR PROCESSING HEALTH INSURANCE APPLICATIONS OVER A NETWORK__

Express Mail Label No. __EL672754086US__

ADDRESS TO:	**Assistant Commissioner for Patents** **Box Patent Application** **Washington, D. C. 20231**

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

1. __X__ **Fee Transmittal Form (e.g., PTO/SB/17)**
   (Submit an original, and a duplicate for fee processing)

2. __X__ **Applicant Claims Small Entity Status. (37 CFR 1.27)**

3. __X__ **Specification** **(Total Pages __33__)**
   (preferred arrangement set forth below)
   - Descriptive Title of the Invention
   - Cross Reference to Related Applications
   - Statement Regarding Fed sponsored R & D
   - Reference sequence listing, a table,
     or a computer program listing appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings (if filed)
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure

4. __X__ **Drawings(s) (35 USC 113)** **(Total Sheets __11__)**

5. __X__ **Oath or Declaration** **(Total Pages __12__)**

   a. __X__ Newly Executed (Original or Copy)

   b. ____ Copy from a Prior Application (37 CFR 1.63(d))
      (for Continuation/Divisional with Box 17 completed)

      i. __ DELETIONS OF INVENTOR(S) Signed statement attached deleting
         inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
         and 1.33(b).

   c. ____ Unsigned.

6. _____ **Application Data Sheet. (37 CFR 1.76)**

7. _____ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. _____ Nucleotide and/or Amino Acid Sequence Submission
   (if applicable, all necessary)
   a. ____ Computer Readable Form (CRF)

   b. ____ Specification Sequence Listing on:
      i. _____CD-ROM or CD-R (2 copies); or
      ii. _____ paper

   c. Statement verifying identity of above copies

2/15/01

ACCOMPANYING APPLICATION PARTS

9. __X__ **Assignment Papers (cover sheet & documents(s))**

10. _____ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)

__X__ b. Power of Attorney

11. _____ English Translation Document (if applicable)

12. _____ a. Information Disclosure Statement (IDS)/PTO-1449

_____ b. Copies of IDS Citations

13. _____ **Preliminary Amendment**

14. _____ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. _____ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**

17. __X__ Other: __Copy of Postcard with Express Mail Certificate of Mailing__

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

_____ **Continuation** _____ **Divisional** _____ **Continuation-in-part (CIP)**
Of Prior Application No.: _____ Examiner_____ Group Art Unit_____

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:
The undersigned states that _____ (name of assignee) is
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an
assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____
(or a copy of which is attached).

---

19. Correspondence Address

_____ Customer Number or Bar Code Label _____

or (Insert Customer No. or Attach Bar Code Label here)

__X__ Correspondence Address Below

NAME __James H. Salter__

__BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP__

ADDRESS __12400 Wilshire Boulevard__

__Seventh Floor__

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): __James H. Salter__ Registration No.: __35,668__

Signature: _____ Date: __10/29/01__

2/15/01

PTO/SB/17(09-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT ($)   1,368.00

Complete if Known:
Application No.   Not Yet Assigned
Filing Date Herewith
First Named Inventor Samuel C. Gibbs III
Group Art Unit Not Yet Assigned
Examiner Name Not Yet Assigned
Attorney Docket No.   005745.P001

METHOD OF PAYMENT (check one)

1.   [X]   **The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:**

Deposit Account Number   02-2666
Deposit Account Name   _____

[   ]   **Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17**

2.   X   **Payment Enclosed:**
X   **Check**
____   **Money Order**
____   **Other**

FEE CALCULATION

1.   BASIC FILING FEE

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
101	740	201	370	Utility application filing fee	740.00
106	330	206	165	Design application filing fee	_____
107	510	207	255	Plant filing fee	_____
108	740	208	370	Reissue filing fee	_____
114	160	214	80	Provisional application filing fee	_____

SUBTOTAL (1) $ 740.00

2.   EXTRA CLAIM FEES

		Extra Claims		Fee from below	Fee Paid
Total Claims 48	− 20** =	28	X	18.00 =	504.00
Independent Claims 4	− 3** =	1	X	84.00 =	84.00
Multiple Dependent				_____ =	_____

Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) $ 588.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for ex-parte reexamination	_____
099	8,800	099	8,800	Request for inter partes reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	400	216	200	Extension for response within second month	_____
117	920	217	460	Extension for response within third month	_____
118	1,440	218	720	Extension for response within fourth month	_____
128	1,960	228	980	Extension for response within fifth month	_____
119	320	219	160	Notice of Appeal	_____
120	320	220	160	Filing a brief in support of an appeal	_____
121	280	221	140	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee	_____
141	1,280	241	640	Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee	_____
142	1,280	242	640	Utility issue fee (or reissue)	_____
143	460	243	230	Design issue fee	_____
144	620	244	310	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	180	126	180	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	_____
179	740	279	370	Request for Continued Examination (RCE)	_____
169	900	169	900	Request for expedited examination of a design application	_____

Other fee (specify) _____ _____

Other fee (specify) _____ _____

SUBTOTAL (3) $ 40.00 _____

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: James H. Salter _____

Signature: _____ Date: 10/29/01

Reg. Number: 35,668 _____ Telephone Number: 408-720-8300

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672754086US

Date of Deposit: 10/29/01

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Dawn Roberts
(Typed or printed name of person mailing paper or fee)

Dawn Roberts
(Signature of person mailing paper or fee)

10/29/01
(Date signed)

Serial/Patent No.: _****_ Filing/Issue Date: _Herewith_
Client: _eHealth Insurance_
Title: _METHOD AND APPARATUS FOR PROCESSING HEALTH INSURANCE APPLICATIONS OVER A NETWORK_
BSTZ File No.: _005745.P001_ Atty/Secty Initials: _JHS/DLS/dkr_
Date Mailed: _October 29, 2001_ Docket Due Date: _****_

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (_____ pgs.)
- ☐ Appeal Brief (_____ pgs.) (in triplicate)
- ☑ Application - Utility (_33_ pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (_____ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (_____ pgs.)
- ☐ Application - Rule 1.53(b) CIP (_____ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (_____ pgs.)
- ☐ Application - Design (_____ pgs.)
- ☐ Application - PCT (_____ pgs.)
- ☐ Application - Provisional (_____ pgs.)
- ☑ Assignment and Cover Sheet 5 Pgs Signed
- ☑ Certificate of Mailing Express Mail
- ☑ Declaration & POA (_12_ pgs.) Signed
- ☐ Disclosure Docs & Orig. & Copy of Inventor's Signed Letter (_____ pgs.)
- ☑ Drawings: _11_ # of sheets includes _11_ figures

- ☑ Express Mail No.: _EL672754086US_ ☑ Check No. _46154_
- ☐ _____ Month(s) Extension of Time   Amt: 1,328.00
- ☐ Information Disclosure Statement & PTO-1449 (__ pgs.) ☑ Check No. _46153_
- ☐ Issue Fee Transmittal   Amt: _40.00_
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for _____
- ☑ Postcard
- ☐ Power of Attorney (_____ pgs.)
- ☐ Preliminary Amendment (_____ pgs.)
- ☐ Reply Brief (_____ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☑ Transmittal Letter, in duplicate
- ☑ Fee Transmittal, in duplicate

☐ Other: _____